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(to be used for all correspondence after initial filing)

Application Number	10/054,749
Filing Date	January 18, 2002
First Named Inventor	Stork
Group Art Unit	2171
Examiner Name	Unassigned
Attorney Docket Number	015358-006710US
Total Number of Pages in This Submission	1

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ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Supplemental Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1) Return Receipt Postcard 2) 1 IDS reference 3) SB/08A and SB/08B
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name	Townsend and Townsend and Crew LLP Sujit B. Kotwal	Reg No. 43,336
Signature	S. B. Kotwal	
Date	August 21, 2002	

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:	
August 26, 2002	
Typed or printed name	Christopher R. Fitting
Signature	Date
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Attorney Docket No.: 015358-006710US

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In re application of:

DAVID G. STORK et al.

Application No.: 10/054,749

Filed: January 18, 2002

For: METHOD AND APPARATUS FOR
PERMISSIONS BASED ACTIVE
DOCUMENT WORKFLOW

Examiner: Unassigned

Art Unit: 2171

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37
CFR §1.97 and §1.98

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08B are being called to the attention of the Examiner. In accordance with 37 CFR §1.98(d), copies of the references can be found in Application No. 09/958,000, filed September 20, 2001 (Attorney Docket No. 015358-006700US) from which the present application claims priority, with the exception of reference AA, a copy of which is attached. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information, and no inference should be made that the information and references cited are, or are considered to be material to patentability because they are in this statement. No inference should be made that the information and references cited are prior art merely because they are in this statement.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

S. B. Kotwal

Sujit B. Kotwal
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Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

2

of

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Complete if Known

Application Number	10/054,749
Filing Date	January 18, 2002
First Named Inventor	Stork, David G.
Art Unit	2171
Examiner Name	Unassigned
Attorney Docket Number	015358-006710US

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	AB	BRAUER et al., "Survey of Behaviour and Equivalence Preserving Refinements of Petri Nets," Grzegorz Rozenberg, eds., in <i>Advances in Petri Nets 1990</i> , No. 483, in <i>Lecture Notes in Computer Science (LNCS)</i> , PAGES 1-46.	
	AC	GENRICH et al., "Predicate / Transition Nets," from <i>Lecture Notes in Computer Science</i> , Goos and Hartmanis eds., vol. 254, <i>Petri Nets: Central Models and Their Properties</i> , Brauer et al., eds., pages 208-247, Springer-Verlag (1987).	
	AD	KOHLER et al., "Modeling the Structure and Behaviour of Petri Net Agents," from <i>Lecture Notes in Computer Science</i> , vol. 2075, pages 224-241 (2001), Colom & Koutny eds., Springer-Verlag (2001).	
	AE	SMITH, E., "Principles of High-Level Net Theory," from <i>Lecture Notes in Computer Science</i> , vol. 1491, pages 174-210 (1998), Reisig & Rozenberg Eds., Springer-Verlag (1998).	
	AF	VALK, R., "Petri Nets as Token Objects, An Introduction to Elementary Object Nets," from <i>Lecture Notes in Computer Science</i> , vol. 1420, pages 1-25, Springer-Verlag (1989).	

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¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

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